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PTO/SB05 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

05/11/01



3c772 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|---|
| Attorney Docket No. | 1960-00100 |
| First Inventor | CATHERINE SHOEMAKER |
| Title | METHOD AND APPARATUS FOR MARKING MEDICINE CONTAINER |
| Express Mail Label No. | EL 776175195 US |

| | |
|--|---|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Commissioner for Patents Box Patent Application Washington, D.C. 20231 |
|--|---|

- | | |
|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Page 10] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 2]</p> <p>5. Oath and Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|---|--|

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No. _____
Prior application information. Examiner Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

| | | | |
|---|-----------|----------|---|
| <input checked="" type="checkbox"/> Customer Number of Bar Code Label | 23505 | or | <input type="checkbox"/> Correspondence address below |
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| Address | | | |
| City | State | Zip Code | |
| Country | Telephone | Fax | |

| | | | |
|-------------------|-----------------|-----------------------------------|-------------------|
| Name (Print/Type) | JONATHAN PIERCE | Registration No. (Attorney/Agent) | 42,073 |
| Signature | | | Date May 11, 2001 |

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**FEE TRANSMITTAL
for FY 2001***Patent fees are subject to annual revision.***Complete if Known**

| | |
|----------------------|------------------------|
| Application Number | NOT YET ASSIGNED |
| Filing Date | CONCURRENTLY HERE WITH |
| First Named Inventor | CATHERINE SHOEMAKER |
| Examiner Name | * |
| Group Art Unit | * |
| Attorney Docket No. | 1960-00100 |

TOTAL AMOUNT OF PAYMENT \$ 355.00**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
Deposit Account Number: 03-2769
Deposit Account Name: Conley, Rose & Tayon, P.C.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status See 37 CFR 1.27

2. ☐ **Payment Enclosed:**
☐ Check ☐ Credit Card ☐ Money Order ☒ Other

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 101 710 | 201 355 | Utility filing fee | \$355 |
| 106 320 | 206 160 | Design filing fee | \$ |
| 107 490 | 207 245 | Plant filing fee | \$ |
| 108 710 | 208 355 | Reissue filing fee | \$ |
| 114 150 | 214 75 | Provisional filing fee | \$ |

SUBTOTAL (1) \$ 355.**2. EXTRA CLAIM FEES**

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|-----------|
| 12 | 3 | | - 20** = 0 x | 18.00 | = \$00.00 |
| | | | 3** = 0 x | 80.00 | = \$00.00 |
| | | | | 270.00 | = \$00.00 |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|-------------------------------|-------------------------------|--|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 80 | 202 40 | Independent Claims in excess of 3 |
| 104 270 | 204 135 | Multiple dependent claim, if not paid |
| 109 80 | 209 40 | ** Reissue independent claims over original patent |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

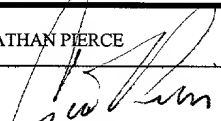
SUBTOTAL (2) \$ 0.00**** or number previously paid, if greater; For Reissues, see above****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | \$ |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
| 139 130 | 139 130 | Non-English specification | \$ |
| 147 2,520 | 147 2,520 | For filing a request for <i>ex parte</i> reexamination | \$ |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | \$ |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | \$ |
| 115 110 | 215 55 | Extension for reply within first month | \$ |
| 116 390 | 216 195 | Extension for reply within second month | \$ |
| 117 890 | 217 445 | Extension for reply within third month | \$ |
| 118 1,390 | 218 695 | Extension for reply within fourth month | \$ |
| 128 1,890 | 228 945 | Extension for reply within fifth month | \$ |
| 119 310 | 219 155 | Notice of Appeal | \$ |
| 120 310 | 220 155 | Filing a brief in support of an appeal | \$ |
| 121 270 | 221 135 | Request for oral hearing | \$ |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | \$ |
| 140 110 | 240 55 | Petition to revive - unavoidable | \$ |
| 141 1,240 | 241 620 | Petition to revive - unintentional | \$ |
| 142 1,240 | 242 620 | Utility issue fee (or reissue) | \$ |
| 143 440 | 243 220 | Design issue fee | \$ |
| 144 600 | 244 300 | Plant issue fee | \$ |
| 122 130 | 122 130 | Petitions to the Commissioner | \$ |
| 123 50 | 123 50 | Petitions related to provisional applications | \$ |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | \$ |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | \$ |
| 146 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | \$ |
| 149 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | \$ |
| 179 710 | 279 355 | Request for Continued Examination (RCE) | \$ |
| 169 900 | 169 900 | Request for expedited examination of a design application | \$ |
| Other fee (specify) | | | \$ |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$**SUBMITTED BY**

Complete (if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|--------------|-----------|----------------|
| Name (Print/Type) | JONATHAN PIERCE | Registration No. (Attorney/Agent) | 42,073 | Telephone | (713) 238-8000 |
| Signature |  | Date | May 11, 2001 | | |

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